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| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005) | | ATTORNEY'S DOCKET NUMBER 753-61 PCT/US |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unassigned 10/565446 |
| INTERNATIONAL APPLICATION NO. PCT/CH2004/000408 | INTERNATIONAL FILING DATE June 29, 2004 | PRIORITY DATE CLAIMED July 21, 2003 |
| TITLE OF INVENTION METHOD FOR PRODUCTION OF α, β-UNSATURATED AMIDE COMPOUNDS | | |
| APPLICANT(S) FOR DO/EO/US WEBER et al. | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). | | |
| Items 13 to 23 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV 749 581 415 US | | |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/535446 Unassigned | INTERNATIONAL APPLICATION NO. PCT/CH2004/000408 | ATTORNEY'S DOCKET NUMBER 753-61 PCT/US | | | | | | | | | | | | |
|---|---|--|--|---------|--------------|-----------|---|------------|--------------------|---------|---|------------|--------|--|
| 23. Other items or information: Copies of documents submitted with Information Disclosure Statement PCT Notification of the Recording of a Change (Form PCT/IB/306) (1 p.) Certificate of Mailing by Express Mail (37 CFR 1.10) (1 p.) Check in the amount of \$900.00 and Return Receipt Postcard (specifically itemized) | | | | | | | | | | | | | | |
| The following fees have been submitted: | | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE</th> </tr> </table> | CALCULATIONS | PTO USE | | | | | | | | | | |
| CALCULATIONS | PTO USE | | | | | | | | | | | | | |
| 24. <input checked="" type="checkbox"/> Basic national fee \$300 | \$300.00 | | | | | | | | | | | | | |
| 25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 All other situations. \$200 | \$200.00 | | | | | | | | | | | | | |
| 26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400 All other situations. \$500 | \$400.00 | | | | | | | | | | | | | |
| TOTAL OF 24, 25 and 26 = | \$900.00 | | | | | | | | | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">Total Sheets</th> <th style="width:15%;">Extra Sheets</th> <th style="width:35%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width:15%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>0 /50 =</td> <td>0</td> <td>x \$250.00</td> </tr> </table> | Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | - 100 = | 0 /50 = | 0 | x \$250.00 | \$0.00 | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | | | | | | | | | | | |
| - 100 = | 0 /50 = | 0 | x \$250.00 | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:15%;">NUMBER FILED</th> <th style="width:15%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>18 - 20 =</td> <td>0</td> <td>x \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$200.00</td> </tr> </table> | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 18 - 20 = | 0 | x \$50.00 | Independent claims | 1 - 3 = | 0 | x \$200.00 | \$0.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | |
| Total claims | 18 - 20 = | 0 | x \$50.00 | | | | | | | | | | | |
| Independent claims | 1 - 3 = | 0 | x \$200.00 | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | \$0.00 | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$900.00 | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | \$0.00 | | | | | | | | | | | | |
| SUBTOTAL = | | \$900.00 | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | \$0.00 | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$900.00 | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | \$0.00 | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$900.00 | | | | | | | | | | | | |
| Amount to be | | \$ | | | | | | | | | | | | |
| Amount to be | | \$ | | | | | | | | | | | | |

10/565446

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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- a. ☒ A check in the amount of \$ \$900.00 to cover the above fees is enclosed. **AP20 Rec'd PTO 20 JAN 2006**
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2461. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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United States of America

(973) 331-1700

Andrea M. Wilkovich
SIGNATURE

Andrea M. Wilkovich

NAME

53,773

REGISTRATION NUMBER

January 20, 2006

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **WEBER, et al.**

Docket No.

753-61 PCT/USApplication No.
UnassignedFiling Date
Herewith (01/20/06)Examiner
UnassignedCustomer No.
23869Group Art Unit
Unassigned

Invention:

METHOD FOR PRODUCTION OF α , β -UNSATURATED AMIDE COMPOUNDS

I hereby certify that the following correspondence:

Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 p. in dupl.); English Transl. of Int'l. Appln. No. PCT/CH2004/000408 (28 p.); Copy of WO 2005/007618 A1 w/Int'l. Search Report; Int'l. Prel. Exam. Report (8 p.); Copy of PCT Notification of the Recording of a Change (1 p.); Change of Correspondence Address Form (1 p.); Certificate of Mailing by Express Mail (1 p.); Check for \$900.00; & Postcard.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 20, 2006*(Date)*Kim Tillman*(Typed or Printed Name of Person Mailing Correspondence)*Kim Tillman*(Signature of Person Mailing Correspondence)*EV 749 581 415 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CHANGE OF
CORRESPONDENCE ADDRESS
Application**Address to:
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Alexandria, VA 22313-1450

| | |
|------------------------|-----------------------------|
| Application Number | Unassigned 23869 |
| Filing Date | Herewith (January 20, 2006) |
| First Named Inventor | WEBER, Beat |
| Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 753-61 PCT/US |

Please change the Correspondence Address for the above-identified application to:

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23869

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I am the :



Applicant/Inventor.



Assignee of record of the entire interest.



Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record. Registration Number



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number 53,773

Signature

Andrea M. Wilkovich

Typed or Printed Andrea M. Wilkovich

Date

January 20, 2006

Telephone

(973) 331-1700

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.



*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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